

FORM PTO-1449 (Modified)			Attorney Docket No.: 005284.00196		Application No.: TBA	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: DAVID HUNG et al.			
			Filing Date: June 27, 2003		Group: 3763	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1 of 5
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
SK	AA	3,608,540	09/28/00	Sartorius		
	AB	3,786,801	1/22/74	Sartorius		
	AC	3,821,956	7/2/74	Gordhammer, et al.		
	AD	4,406,653	9/27/83	Nunez		
	AE	4,543,087	9/24/85	Sommercorn, et al.		
	AF	4,613,329	9/23/86	Bodicky		
	AG	4,739,768	4/26/88	Engelson		
	AH	4,790,830	12/13/88	Hamacher		
	AI	4,795,424	1/3/89	Barner, et al.		
	AJ	4,878,903	11/07/89	Mueller		
	AK	4,957,484	9/18/90	Murfeldt		
	AL	5,176,647	1/5/93	Knoepfler		
	AM	5,183,470	2/2/93	Wetterman		
	AN	5,279,551	1/18/94	James		
	AO	5,300,022	04/05/94	Klapper et al.		
	AP	5,333,609	8/2/94	Bedingham, et al.		
	AQ	5,350,358	9/27/94	Martin		
	AR	5,364,344	11/15/94	Beattie, et al.		
	AS	5,368,574	11/29/94	Antonacci, et al.		
	AT	5,593,393	1/14/97	Trudell, et al.		
	AU	5,599,324	2/4/97	McAlister		
	AV	5,637,102	6/10/97	Tolkoff, et al.		
	AW	5,649,909	7/22/97	Cornelius		
	AX	5,683,640	11/4/97	Miller, et al.		
	AY	5,702,365	12/30/97	King		
	AZ	5,704,925	1/6/98	Otten et al.		
	BA	5,795,326	8/18/98	Siman		
	BB	5,797,869	8/25/98	Martin et al.		
	BC	5,807,329	9/15/98	Gelman		
	BD	5,810,789	09/22/98	Powers et al.		
	BE	5,810,867	9/22/98	Zarbatany		
SK	BF	5,827,237	10/27/98	Macoviak et al.		
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
SK	BG	EP 448,395	09/25/91	Europe		

SK Kennedy

7/20/04

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	TBA
				Filing Date	June 27, 2003
				First Named Inventor	David Hung
				Group Art Unit	3763
				Examiner Name	Unknown
				Attorney Docket Number	005284.00196
Sheet	2	of	5		

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Examiner Signature	S Kennedy	Date Considered	7/20/04
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/APTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	To Be Assigned
		Filing Date	June 27, 2003
		First Named Inventor	David Hung
		Group Art Unit	3763
		Examiner Name	Unknown
		Attorney Docket Number	005284.00196
Sheet	3	of	5

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s); publisher, city and/or country where published.	T ²
SK		Barsky, et al. "Pathologic analysis of breast duct endoscoped mastectomies" Proc. Annual Meeting Am. Assoc. Cancer Res. 34, abstracts #67.	
		"Breast Fluid Cells Help In Early Cancer Detection" JAMA, Vol. 224, No. 6, May 7, 1973	
		Leborgne, "The Breast In Roentgen Diagnosis", Uruguay 1953	
		Makita, et al. "Duct Endoscopy and Endoscopic Biopsy In the Evaluation of Nipple Discharge", Breast Cancer Research and Treatment, 18: 179-188, 1991	
SK		Okazaki, et al., "Relationship Between Cytologic Results and the Extend of Intraductal Spread in Nonpalpable Breast Cancers With Nipple Discharge", Tumor Res. 31, 89-97, 1996	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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First Named Inventor

David Hung

Group Art Unit

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Examiner Name

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Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
SK		Wrensch et al, Breast Cancer Res Treat, Factors associated with obtaining nipple aspirate fluid: Analysis of 1428 women and literature review, Vol. 15, pp 39-21, 1990	
		Wrensch et al, Breast Fluid Cholesterol and Cholesterol B-Epoide Concentrations in Women with Benign Breast Disease, Cancer Res., Vol. 49, pp 2168-2174, 1989	
		Mechanical Engineering, Vol. 118 (10), pp 65-68, 1996	
		King et al, Analytic Studies of Foam Cells From Breast Cancer Precursors, Cytometry, Vol. 5, pp 124-130, 1984	
		King et al, Cellular Composition of the Nipple Aspirate Specimen of Breast Fluid, A.J.C.P., Vol. 64, pp 739-748, 1975	
		King et al, Cellular Composition of the Nipple Aspirate Specimen of Breast Fluid, A.J.C.P., Vol. 64, pp 728-738, 1975	
		Masood et al, The Missing Link: A "Pap Smear" For Early Breast Cancer Detection and Prevention, The Breast Journal, Vol. 5, pp 1-2, 1999	
		Sartorius et al, Cytologic Evaluation of Breast Fluid in the Detection of Breast Disease, Journal of National Cancer, Vol. 59, pp 1073-1080, 1977	
		Sauter et al, Nipple aspirate fluid: a promising non-invasive method to identify cellular markers of breast cancer risk, British J. Cancer, Vol. 76(4), pp 494-501, 1997	
		Wrensch et al, Breast Cancer Incidence in Women with Abnormal Cytology in Nipple Aspirates of Breast Fluid, Amer J. Epid., Vol. 135, pp 130-141, 1992	
SK		Frykberg and Masood Copeland EM 3d Bland KI, (Ductal carcinoma in situ of the breast) Surgery, Gynecology & Obstetrics, Vol. 177 (4), pp 425-40, 1993	

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SK		Papanicolaou et al, Exfoliative Cytology Of The Human Mammary Gland And Its Value In The Diagnosis Of Cancer And Other Diseases Of The Breast, Cancer, Vol. 11, pp 377-409, 1958.	
		Goodson WH & King EB, Chapter 4: Discharges and Secretions of the Nipple, The Breast: Comprehensive Management of Benign and Malignant Diseases, 2 nd Ed., Bland & Kirby Eds. W.B. Saunders Co, Philadelphia, PA, Vol. 2,, pp 51-74, 1998	
		King et al, Nipple Aspirate Cytology for the Study of Breast Cancer Precursors, Journal of the National Cancer Institute, Vol 71(6), pp 1115-21, 1983	
		Wensch et al, Breast Cancer Incidence in Women with Abnormal Cytology in Nipple Aspirates of Breast Fluid, Am. J. Epidemiology, Vol. 135 (2), pp 130-141, 1992	
		Sauter et al, Nipple aspirate fluid: a promising non-invasive method to identify cellular markers of breast cancer risk, British Journal of Cancer, Vol. 76 (4), pp 494-501, 1997	
		Sartorius et al, Cytologic Evaluation of Breast Fluid in the Detection of Breast Disease, Journal of the National Cancer Institute, Vol. 59 (4), pp 1073-80, 1977	
		Love and Barsky, Breast-duct endoscopy to study stages of cancerous breast disease, Lancet, Vol. 348(9033), pp 997-9, 1996	
		Makita et al, Duct endoscopy and endoscopic biopsy in the evaluation of nipple discharge, Breast Cancer Res Treat Vol. 18, pp 179-188, 1991	
		Okazaki et al, Fiberoptic Ductoscopy of the Breast: A New Diagnostic Procedure for Nipple Discharge, Jpn J. Clin. Oncol. Vol. 21, pp 188-193, 1991	
		Petrakis, Studies on the Epidemiology and Natural History of Benign Breast Disease and Breast Cancer Using Nipple Aspirate Fluid, Cancer Epidemiology, Biomarker Prev., Vol. 2, pp 3-10, 1993	
SK		Petrakis, Physiologic, biochemical, and cytologic aspects of nipple aspirate fluid, Breast Cancer Res. Treat, Vol. 8, pp 7-19, 1986	

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